UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

# NOTICE OF ALLOWANCE AND FEE(S) DUE

50170

10/19/2010

**EXAMINER** AL HASHEMI, SANA A

IBM CORP. (WIP) c/o WALDER INTELLECTUAL PROPERTY LAW, P.C. 17330 PRESTON ROAD

SUITE 100B

DALLAS, TX 75252

ART UNIT PAPER NUMBER

2156

DATE MAILED: 10/19/2010

APPLICATION NO. FILING DATE		FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/537,213	06/02/2005	Guy Patrick Hindle	GB920020006US1	4682

TITLE OF INVENTION: SYNCHRONIZATION METHOD

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	01/19/2011

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current **SMALL ENTITY status:** 

A. If the status is the same, pay the TOTAL FEE(S) DUE shown

B. If the status above is to be removed, check box 5b on Part B -Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

## Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where ar in m

maintenance fee notifica	ttions.						correspondence address as		
CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)  50170 7590 10/19/2010					Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.				
					Certifi	rate of Mailing or Trans	mission		
IBM CORP. (WIP) c/o WALDER INTELLECTUAL PROPERTY LAW, P.C. 17330 PRESTON ROAD				I he Stat addı tran	Certificate of Mailing or Transmission  I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.				
SUITE 100B			(Depc						
DALLAS, TX 7	5252						(Signature)		
							(Date)		
APPLICATION NO.	FILING DATE		FIRST NAMED INV	ENTOR	A	TTORNEY DOCKET NO.	CONFIRMATION NO.		
10/537,213	06/02/2005	•	Guy Patrick Hi	ndle	•	GB920020006US1	4682		
TITLE OF INVENTION	N: SYNCHRONIZATION	N METHOD							
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUI	E PUBLICATION FEI	E DUE	PREV. PAID ISSUE F	EE TOTAL FEE(S) DUE	DATE DUE		
nonprovisional	NO	\$1510	\$300	\$0 \$1810		\$1810	01/19/2011		
EXAM	IINER	ART UNIT	CLASS-SUBCLA	SS					
AL HASHE	MI, SANA A	2156	707-203000						
1. Change of correspond CFR 1.363).	ence address or indicatio	on of "Fee Address"		-	atent front page, list	tornovo 1			
Change of corresp	oondence address (or Cha B/122) attached.	ange of Corresponde	ence or agents OR, al	(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,					
☐ "Fee Address" ind	lication (or "Fee Address	" Indication form	registered attorn	registered attorney or agent) and the names of up to					
PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.			listed, no name	2 registered patent attorneys or agents. If no name is listed, no name will be printed.					
3. ASSIGNEE NAME A	ND RESIDENCE DATA	A TO BE PRINTED	ON THE PATENT (prin	ıt or typ	pe)				
PLEASE NOTE: Un recordation as set for	less an assignee is ident th in 37 CFR 3.11. Com	tified below, no assipletion of this form:	ignee data will appear or is NOT a substitute for fil	the p	atent. If an assignee assignment.	s identified below, the de	ocument has been filed for		
(A) NAME OF ASSI	•	•		Ü	and STATE OR COU	UNTRY)			
Please check the appropri	riate assignee category or	r categories (will no	t be printed on the patent)	. 🗆	Individual D Corne	oration or other private or	oup entity 🖵 Government		
		categories (will not			-		· ·		
4a. The following fee(s)  Issue Fee	are submitted:		4b. Payment of Fee(s  A check is enc		se first reapply any p	oreviously paid issue fee	shown above)		
Publication Fee (N	No small entity discount p			Payment by credit card. Form PTO-2038 is attached.					
Advance Order -	# of Copies		The Director is overpayment, t	hereby o Depo	authorized to charge sit Account Number _	the required fee(s), any de (enclose a	ficiency, or credit any nextra copy of this form).		
5. Change in Entity Sta	tus (from status indicate	d above)					,		
	ns SMALL ENTITY state					ENTITY status. See 37 CI			
NOTE: The Issue Fee an interest as shown by the	nd Publication Fee (if req records of the United Sta	uired) will not be ac ites Patent and Trad	ccepted from anyone othe emark Office.	r than t	he applicant; a register	ed attorney or agent; or th	e assignee or other party in		
Authorized Signature	1				Date				
Typed or printed name									
This collection of inform	nation is required by 37 (	TER 1 311 The info	rmation is required to obt	ain or r	etain a benefit by the	public which is to file (and	hy the USPTO to process)		
an application. Confiden submitting the complete this form and/or suggest Box 1450, Alexandria, V Alexandria, Virginia 223	itiality is governed by 35 dapplication form to the ions for reducing this bu /irginia 22313-1450. DC	6 U.S.C. 122 and 37 e USPTO. Time wil orden, should be send O NOT SEND FEES	CFR 1.14. This collection of the collection of t	n is est le indiv Office MS TO	imated to take 12 min ridual case. Any comr er, U.S. Patent and Tra D THIS ADDRESS. S	utes to complete, including the to complete, including the total t	g gathering, preparing, and ne you require to complete artment of Commerce, P.O. for Patents, P.O. Box 1450,		

PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010.

OMB 0651-0033

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/537,213	06/02/2005	Guy Patrick Hindle	GB920020006US1	4682	
50170 7:	590 10/19/2010		EXAMINER		
IBM CORP. (WI	(P)	AL HASHEMI, SANA A			
	ELLECTUAL PROPE	ART UNIT	PAPER NUMBER		
17330 PRESTON SUITE 100B DALLAS, TX 752			2156 DATE MAILED: 10/19/201	0	

## **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 655 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 655 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

	Application No.	Applicant(s)					
	10/537,213	HINDLE ET AL.					
Notice of Allowability	Examiner	Art Unit					
	Cone Al Hashami	2156					
	Sana Al-Hashemi	2156					
The MAILING DATE of this communication appeal All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in or other appropriate commur IGHTS. This application is su	this application. If not included nication will be mailed in due cou	rse. <b>THIS</b>				
1. This communication is responsive to <u>Appeal Brief filed 7/15</u>	<u>9/2010</u> .						
2. X The allowed claim(s) is/are 1-5, 24-31 as renumbered 1-13	<u>3</u> .						
3. ☑ Acknowledgment is made of a claim for foreign priority ur  a) ☐ All b) ☐ Some* c) ☒ None of the:  1. ☐ Certified copies of the priority documents have  2. ☐ Certified copies of the priority documents have	e been received. e been received in Application	No					
3. Copies of the certified copies of the priority do	cuments have been received	in this national stage application	from the				
International Bureau (PCT Rule 17.2(a)).							
* Certified copies not received:							
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		a reply complying with the require	∍ments				
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give			CE OF				
5. CORRECTED DRAWINGS ( as "replacement sheets") mus	st be submitted.						
(a) $\square$ including changes required by the Notice of Draftspers	son's Patent Drawing Review	( PTO-948) attached					
1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date							
(b) ☐ including changes required by the attached Examiner's Paper No./Mail Date	(b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date						
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t			k) of				
6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT	SIT OF BIOLOGICAL MATE FOR THE DEPOSIT OF BIO	RIAL must be submitted. Note LOGICAL MATERIAL.	the				
Attachment(s)	5 <b>- - - - - - - - - -</b>						
1. Notice of References Cited (PTO-892)		ormal Patent Application					
2. Notice of Draftperson's Patent Drawing Review (PTO-948)	Paper No./N	lail Date					
3. Information Disclosure Statements (PTO/SB/08),	7. 🔲 Examiner's A	mendment/Comment					
Paper No./Mail Date <u>See Continuation Sheet</u> 4. ☐ Examiner's Comment Regarding Requirement for Deposit	8. 🛛 Examiner's S	Statement of Reasons for Allowar	nce				
of Biological Material	9.						
/Sana Al-Hashemi/	J	•					
Primary Examiner, Art Unit 2156							

Continuation of Attachment(s) 3. Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date: 7/12/06, 11/11/08, 2/20/09, 1/28/2010.

### **DETAILED ACTION**

This action is issued in responds to the Appeal Brief filed 7/19/2010.

Claims 1-5, and 26-31 as renumbered 1-13 are allowed.

## Allowable Subject Matter

Claims 1-5, and 26-31 as renumbered 1-13 are allowed. The following is an examiner's statement of reasons for allowance:

Regarding independent claim 1, the prior arts disclose all the limitations as shown in the office action mailed 3/10/2010. However, the prior arts fail to teach or suggest the method of storing a copy of the master data in a second non-legacy computer, executing by said second non-legacy computer, at least one operation on said copy of the master data, in conjunction with the remaining limitations.

Regarding independent claims 24, and 28, the prior arts disclose all the limitations as shown in the office action mailed 3/10/2010. However, the prior arts fail to teach or suggest the method of one operation from the thin client computer wherein the thin client computer stores a copy of the master data from the legacy computer and executes the at least one operation on the copy of the master data, sequentially replay the at least one operation on the master data at the legacy computer said copy of the master data, in conjunction with the remaining limitations,

The dependent claims 2-5, 23-27, and 29-31 being further limiting to the independent claims, definite and enabled by the Specification are also allowed.

Art Unit: 2156

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Page 3

#### **Comments**

The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. In no case may an applicant reply outside the SIX (6) MONTH statutory period or obtain an extension for more than FIVE (5) MONTHS beyond the date for reply set forth in an Office action. A fully responsive reply must be timely filed to avoid abandonment of this application.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

As allowable subject matter has been indicated, Applicant's response must either comply with all formal requirements or specifically traverse each requirement not complied with. See 37 CRF 1.111(b) and MPEP section 707.07(a).

Application/Control Number: 10/537,213 Page 4

Art Unit: 2156

Points of Contact

Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Sana Al-Hashemi whose telephone number is 571-272-4013.

The examiner can normally be reached on 8Am-4:30Pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Pierre Vital can be reached on 571-272-4215. The fax phone number for the

organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

applications is available through Private PAIR only. For more information about the PAIR

system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR

system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would

like assistance from a USPTO Customer Service Representative or access to the automated

information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Sana Al-Hashemi/

Primary Examiner, Art Unit 2156

Oct. 14, 2010